Cornerstone

O N D E M A N D *Empowering People*

| **L** LEARNING | **C** CONNECT | **P** PERFORMANCE | **S** SUCCESSION | **X** EXTENDED ENTERPRISE |

Cornerstone Compliance

AVOID COSTLY COMPLIANCE RISK WITH CERTIFICATION MANAGEMENT

Today's corporate enterprises face strict compliance and certification requirements. Companies spend extraordinary amounts of time and capital to conform to a range of regulatory requirements, including FDS, FINRA, Sarbanes-Oxley (SOX), HIPAA, Joint Commission, Magnet, Basel II, and well as any additional internal regulatory compliance, environmental regulatory compliance or HR policies. With Cornerstone OnDemand, you can address the challenges of meeting regulatory demands head-on.

Cornerstone Compliance solutions automate the administration and management of compliance management processes to ensure your organization is adhering to the latest regulatory requirements. Rely on Cornerstone to provide clear, real-time visibility into your company's compliance activities and to transform an administrative headache into a valuable area for cost savings and business improvement, while minimizing risk.

"We leverage the Cornerstone OnDemand system to continually deliver the right compliance training to the right audiences within Allied Irish Bank, helping us meet the regulatory standards in our industry. Cornerstone provides us with a flexible and user-friendly platform that helps us deliver in a cost-efficient way."

Mary Fitzgerald-Horgan
Allied Irish Bank Group

CHALLENGES
• How can we update our current outdated compliance tracking process?
• How can we limit our exposure to compliance risk and potential fines?
• Can software help us support a wide range of complex compliance activities, both inside and outside the organization?

FEATURES
• Track any type of one-time or renewal-based certification or license
• Manage continuing professional education programs
• Administer internal HR compliance training
• Generate reports on compliance status at any time

BENEFITS
• Reduced risk to non-compliance exposure
• Lower cost of delivery by moving some of or all compliance training online
• Lower administrative costs of tracking and managing complex regulatory compliance programs
• Software-as-a-Service (SaaS) means no software to install or maintain

Cornerstone Compliance provides an easy-to-use platform to address:

One-Time Requirements	For example, anti-money laundering (external) and HR policies or IT security training (internal)
Ongoing, Multi-Year Requirements	Any type of renewal-based certification, license, permit, etc. – including CPA, CFP, CLE learning credits
Audits	Automated survey tools for internal employee audits and direct, automated submission of forms to regulatory agencies
Enterprise Reporting	Comprehensive branch, divisional and organizational roll-up reporting for unparalleled visibility into compliance activities

INCREASE COMPLIANCE VISIBILITY AND MINIMIZE RISK

With Cornerstone Compliance, companies can minimize risk for all types of regulated and internal programs at all levels of the organization.

- Employees have better visibility of their own compliance responsibilities through automated e-mail reminders and personalized training plans
- Line managers see the compliance status of their subordinates through detailed reporting and automated e-mail reminders
- Line managers can assign and track specific training
- Business unit heads and compliance staff have better visibility into compliance status for lines of business, divisions, or branches, using comprehensive reports, dashboards, and internal audits

DRIVE HIGHER COMPLIANCE RATES WITH E-LEARNING

Cornerstone has partnered with all of the top providers of e-learning content across all subject areas and skill levels and can deliver effective online compliance training content to meet specific regulatory mandates, including discrimination, harassment, business ethics and healthcare-specific training.

SUPPORT FOR ONE-TIME, ONGOING AND MULTI-YEAR REQUIREMENTS

Cornerstone Compliance supports one-time compliance requirements and ongoing or multi-year regulatory requirements, whether driven by external regulation or internal mandates. Cornerstone is fully configurable to address different regulatory environments to track all types of certifications, licenses, accreditations and permits.
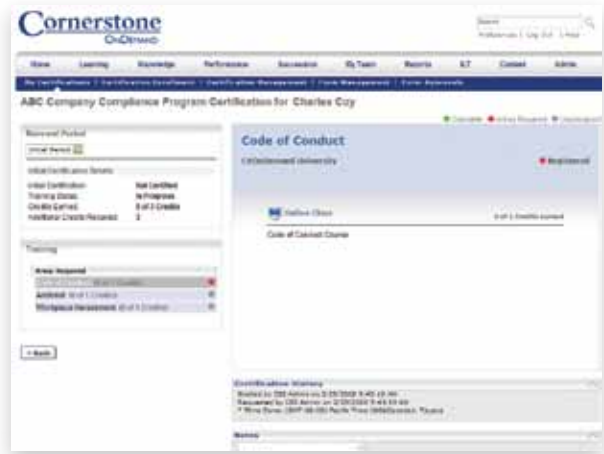
COMPLY WITH AUDIT TRAILS AND ELECTRONIC SIGNATURES

Cornerstone Compliance includes audit trail, electronic record and electronic signature capabilities. Even under the most rigorous regulatory environments, our platform provides the most necessary protection during audits.

GENERATE COMPLIANCE DATA WITH ENTERPRISE REPORTING

Once compliance requirements are set, Cornerstone Compliance tracks status and progress against those regulatory requirements, both at the individual level and across the entire user population. The system also tracks completion and expiration of certifications, licenses, permits, accreditations, and more

Cornerstone
ONDEMAND *Empowering People*

Cornerstone OnDemand is a global provider of comprehensive learning and talent management software and services. Our solution is designed to help organizations empower their people and maximize the productivity of their workforce. To learn more, visit www.cornerstoneondemand.com.